

05-19-04

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MERL-1544

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
Vetro, et al. : Art Unit: Unknown  
:  
Serial No: 10/776,571 :  
:  
:  
:  
Filed: Feb. 11, 2004 :  
:  
:

Title: Optimal Bit Allocation for Error Resilient Video  
Transcoding

EXPRESS MAIL mailing label number:

EV 102066682 US

Date of Deposit: May 18, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL in an envelope addressed to: The Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on:

Clifton D. Mueller

Name of Depositor

*Clifton D. Mueller*  
Signature

\* \* \*

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. §1.56(a), Applicant hereby cites the following documents (copies enclosed) listed on the attached copy of Form PTO-1449.

This Information Disclosure Statement is filed in accordance with the paragraph of 37 CFR §1.97 checked below:

X 1.97(b) This Information Disclosure Statement is filed:

- (1) Within three months of the filing date of a national application; OR
- (2) Within three months of the date of entry of the national stage of an international application; OR
- (3) Before the mailing of a first Office Action on the merits.

No fee or certification is required.

   1.97(c) This Information Disclosure Statement is filed after the period specified in paragraph (b) above, but before the mailing date of either:

- (1) A Final Action under 37 CFR 1.113; OR
- (2) A Notice of Allowance under 37 CFR 1.311;

AND is accompanied by either:  
(check one)

\_\_\_\_\_ the Certification under 37 CFR  
1.97(e) as set out below; OR

\_\_\_\_\_ the fee of \$240.00 under 37 CFR  
1.17(p) .

\_\_\_ 1.97(d) This Information Disclosure Statement is filed  
after the mailing date of either:

(1) a Final action under 37 CFR 1.113; OR

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BUT before payment of the Issue Fee, AND is accompanied  
by:

(1) the Certification under 37 CFR 1.97(e) as  
set out below; AND

(2) Petition is hereby made under 37 CFR  
1.97(d) for consideration of this  
Information Disclosure Statement; AND,

(3) Authorization to charge the petition fee  
of \$130.00 as set out in 37 CFR 1.17(i).

If this Information Disclosure Statement is being filed  
under 37 CFR 1.97(c) or 1.97(d), the undersigned Attorney hereby

certifies that:

— each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this Statement;

or

— no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to the knowledge of the undersigned Attorney after making reasonable enquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing date of this Statement.



MERL-1544

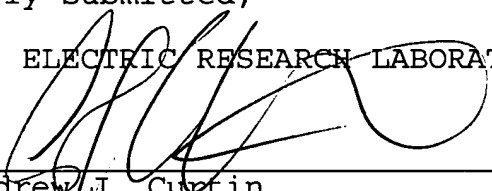
Authorization is hereby given to charge the indicated fee(s)  
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Respectfully submitted,

mitsubishi electric research laboratories

By:

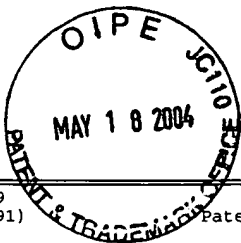
  
\_\_\_\_\_  
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Enclosures

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Sheet 1 of 1

|   |  |                                      |                              |
|---|--|--------------------------------------|------------------------------|
| Form PTO-1449<br>(modified 2/91)  | U.S. DEPT OF COMMERCE<br>Patent and Trademark Office | Attorney Docket Number:<br>MERL-1544 | Serial Number:<br>10/776,571 |
| <b>INFORMATION DISCLOSURE CITATION</b><br><br>(Use several sheets if necessary) |  | Applicant:<br>Vetro, et al.          |                              |
|   |  | Filing date:<br>Feb. 11, 2004        | Group art area:              |

## U.S. PATENT DOCUMENTS

| Exam-<br>iner<br>Initial | Patent number | Date | Name | Class | Subclass | Filing date<br>if appropriate |
|--------------------------|---------------|------|------|-------|----------|-------------------------------|
|                          |               |      |      |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  | Document number | Date | Country | Class | Subclass | Translation |    |
|--|-----------------|------|---------|-------|----------|-------------|----|
|  |                 |      |         |       |          | YES         | NO |
|  |                 |      |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |                  |
|--|--|------------------|
| 1.   | Cote, et al., "Optimal mode selection and synchronization for robust video communications over error-prone networks," IEEE Journal on Selected Areas in Communications, vol. 18, no. 6, pp. 952-965, 2000. |                  |
| 2.   | Dogan, "Error-resilient video transcoding for robust inter-network communications using GPRS," IEEE Transactions on Circuits and Systems for Video Technology, vol. 12, no. 6, pp. 453-464, 2002.          |                  |
| 3.   | Zhang, et al., "Video coding with optimal inter/intra-mode switching for packet loss resilience," IEEE Journal on Selected Areas in Communications, vol. 18, no. 6, pp. 966-976, 2000.                     |                  |
| 4.   | Bertsekas, "Projected Newton methods for optimization problems with simple constraints," Tech. Rep. LIDS R-1025, MIT, Cambridge, MA, 1980.   |                  |
| Examiner:  |  | Date Considered: |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP .609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. |  |                  |